



# United States Securities Law: A Practical Guide

*James Bartos*

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## **United States Securities Law:A Practical Guide** James Bartos

Capital raising, mergers and acquisitions and securities trading around the world often involve some connection with the United States and implicate the US securities laws.

*United States Securities Law: A Practical Guide* ,offers a concise overview of US securities laws from the perspective of a non-US participant. It is written not only for lawyers but for managers, bankers and others with an interest in the topic.

This new edition has been significantly updated and expanded, including for the SEC's recent offering reforms and corporate governance developments.

### **Praise for the Second Edition:**

This book has been a valuable resource in effectively counselling my company in the intricacies of SEC regulation. Jim, with his many years of counselling foreign issuers out of his firms London office, writes with a perspective that is particularly relevant to the needs of foreign companies listed on US exchanges. *George Miller, Executive Vice President and General Counsel, Novartis Corporation.*

A well written and useful primer for those looking for quick, practical answers to real life questions or who wish to understand better the background and rationale to US securities law and regulation. *Leland Goss, Managing Director, Credit Suisse.*

This book really is a practical guide to the US securities laws. It does a great job of explaining the rules and regulations in a way that can be understood by readers that are not themselves US securities lawyers. *Peter Castellon, Director & Counsel, Citigroup Corporate & Investment Banking.*

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